T OF COMMERCE PATENT AND TRADEMARK OF TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) 10/522,857 CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. PCT/EP03/05648 5/28/2003 08/05/2002 TITLE OF INVENTION Signal Strength Information Dependent Control of Small Electrodynamic Transducers in Audio Systems APPLICANT(S) FOR DO/EO/US Thomas Lechner Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (22) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4) Amendments to the claims of the International Application Under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report Under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 21 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. An Application Data Sheet under 37 CFR 1.76. 16. A substitute specification. 17. A change of power of attorney and/or address letter. 18. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

19. A second copy of the published international application under 35 U.S.C. 154(d)(4).

21. Other items or information: Copy of Notification of Missing Requirements

20. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)

U.S. APPL 10/522,857	CATION NO. (if know	/n, 9ee 37 CFR 1.5)		ERNATIONAL APPL /EP03/05648	ICATION	NO.	ATTORNEY DOCKET NO. 9342-253	
21. The following fees are submitted:							CALCULATIONS	
a) Basic na	tional fee					\$300.00		
b) Examina	b) Examination fee\$200.00							
c) Search fee								
c) Search h	:c			BOVE CALCULA				
					-			
listing or co		cation and drawings f sting filed in an electr r fraction thereof.						
Total Sheets	Extra sheets			nal 50 or fraction a whole number)	I RAIF			
-100+	-/50+					X \$250.00	\$	
		urnishing the oath or riority date (37 CFI			20	X 30 months	\$130.00	
CLAIMS		NUMBER FILED NUMBER EX		TRA	RATE	\$		
Total cla	ims	- 20 =				x \$ 50.00	\$	
	lent Claims	- 3 =				x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$			
	<del></del>					ULATIONS =	\$	
	icant claims smal	Il entity status. See	37 CF	R 1.27. The fees	indicate	ed above are	\$	
					S	UBTOTAL =	\$	
		for furnishing the E aimed priority date			han 🔲 2	20 🔲 30	\$	
monus n	om me earnest ch	anned priority date	(37 CI		NATI	ONAL FEE =	\$	
Fee for Re	ecording the enclo	osed assignment (3	7 CFR					
		riate cover sheet (3		3.28, 3.31). \$40.	<b>00</b> per p	roperty +	\$	
				TOTAL F	EES E	NCLOSED =	\$130.00	
							Amount to be refunded:	\$
							charged:	\$
a. 🔯	A check in the ar	mount of <b>\$130.00</b> to	cover	the above fees is	enclose	d.		<u> </u>
_								_
b. Please charge my Deposit Account No. 50-0220 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. 🛛	The Commission	er is hereby author	ized to	charge any additi	onal fee	s which may be re	quired, or credit any over	rpayment
to Deposit Account No. 50-0220. A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
		priate time limit u re the application			not be	en met, a petition	to revive (37 CFR 1.137	7(a) or (b)) must be
	LL CORRESPON	• •	•	J	/-	Vaur	- Kel	
	s Bigel Sibley & Box 37428	& Sajovec, P.A.				Laura M. Kelley #  Date: <u>Seφ</u>	•	005
Ralei Telep	gh, North Carol bhone: (919) 854	4-1400		1)		Certificat	te of Mailing under 37 CF	
	mile: (919) 854			Po	ostal Serv	rice with sufficient po	ostage as first class mail in a	an envelope addressed to:
Custo 3/2005 GF	mer No. 20792 REY1 00000229	1.0 <b>522857</b>					JS, Commissioner for Paten September 23, 2005.	nts, PO Box 1450,
C:1617		130.00 JP		• • • • • • • • • • • • • • • • • • • •	_ر			
				C	arey Gre	gory 8	X	
							$\sim$	



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Vinginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/522,857 Thomas Lechner 9342-253

INTERNATIONAL APPLICATION NO. PCT/EP03/05648

20792 MYERS BIGEL SIBLEY & SAJOVEC PO BOX 37428 RALEIGH, NC 27627 LA. FILING DATE PRIORITY DATE

05/28/2003

08/05/2002

CONFIRMATION NO. 4895
371 FORMALITIES LETTER
\*OC00000016599889\*

Date Mailed: 07/25/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/31/2005
- Copy of the International Search Report filed on 01/31/2005
- Copy of IPE Report filed on 01/31/2005
- Preliminary Amendments filed on 01/31/2005
- Information Disclosure Statements filed on 01/31/2005
- U.S. Basic National Fees filed on 01/31/2005
- Priority Documents filed on 01/31/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

AV 7-29-05 The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

**VONDA M WALLACE** 

Telephone: (703) 308-9140 EXT 225

## PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/522 857	PCT/EP03/05648	9342-253

FORM PCT/DO/EO/905 (371 Formalities Notice)